

2020 Audit Process

The U.S. Center for SafeSport will notify the NGB/USOPC a minimum of two weeks prior to auditor site visit. The audit will take place at a sanctioned, national-level NGB/USOPC competition involving minor competitors that has been selected from a list of competitions provided by the NGB/USOPC. (Note: NGBs have varying levels of oversight over even the most elite or top-level competitions.)

It is recommended but NOT REQUIRED that an NGB/USOPC representative or the event organizer be prepared to walk the auditor through on-site protocols. Regardless of availability, all competition organizers and/or contacts must be made aware that a SafeSport auditor will be on site. The U.S. Center for SafeSport will work with the USOPC to ensure all SafeSport auditors have fulfilled required background checks prior to site visits. All SafeSport auditors are SafeSport Trained.

Remediation protocols will be specific and narrowly tailored to each NGB, taking into consideration that each NGB/USOPC is best suited to determine the appropriate path forward given their particular structure and operations.

NGB/USOPC will be given 2 weeks to provide a management response to the corrective actions identified and will have 60 days from the initial draft report to remedy deficiencies before an audit report is made public. Even if an NGB/USOPC has not fully addressed or fully implemented a corrective action, the report will reflect the NGB/USOPC's demonstration of good faith progress toward remedying the corrective actions identified.

2020 Audit Standards

1. **EDUCATION AND TRAINING** - Auditor will request a list of all individuals specific to the NGB/USOPC who are required to take training (adult participants, coaches, referees/officials, volunteers, medical staff, contractors, etc.). All individuals with regular contact and/or authority over minor athletes **must be SafeSport Trained prior to competition.**
2. **COMMUNICATION AND REPORTING** - A minimum of one direct communication to event/competition organizers **must be distributed no earlier than 30 days prior to the event/competition** informing participants of the MAAPP requirements and be sent in the same manner as playing rules, code of conduct, etc. Communication must also include protocol for reporting suspected or alleged MAAPP violations.

3. **QUALITY CONTROL SYSTEM** - Auditor will evaluate the NGB/USOPC quality control system in place to ensure that suspended/banned individuals (either by the Center or the NGB/USOPC) and individuals who are not SafeSport Trained **are unable to register or participate in the event/competition** (testing based on credentialed, registered participants, not spectators).

Quality Control System must include:

- NGB/USOPC policies and procedures pertaining to participant registration, and staffing and volunteers (e.g., requirement to cross-reference Center’s Disciplinary Database prior to registration, manual list sent to competition organizers of those who are suspended or have not taken training, or give access to certain individuals running competition);
 - Policies and protocols in place to minimize the risk for individuals to slip through the cracks (1-day/short-term memberships, volunteers, on-site registration, last minute referee substitutions, etc.); and
 - Oversight and monitoring by NGB/USOPC even if not on-site (e.g., required to send list of participants to NGB/USOPC if registration is not done through NGB/USOPC) and back-end oversight to catch individuals who should not participate (assuming registration is not done through NGB/USOPC on the front end).
4. **MAAPP RISK ASSESSMENT** - Elements of the MAAPP Risk Assessment include One on One Interaction, Massage and Rubdowns/Athletic Training Modalities, Locker Rooms/Changing Areas, Social Media & Electronic Communications, and Local/Team Travel.

Testing for this will be through observation, inspection, interviews with coaches, parents and athletes; however, some areas will not be applicable to some NGB/USOPC competitions.

Assessment will also take into consideration NGB/USOPC standards that have gone above and beyond the minimum requirements (e.g., signs in locker rooms/changing areas, sign-offs for massages, etc.).

AUDIT SCORING GUIDE

NOT IMPLEMENTED

A finding of this type indicates a minimal reduction in risk to minor athletes and reveals what is determined to be a poor environment of safety and well-being for minor athletes. This can be a result of, but not limited to the following:

- Complete absence of policies and/or relevant and supporting documentation where required.
- Complete absence of communication to Applicable Adults regarding specific policy/requirements.
- Complete absence of reporting and oversight structure for Required Prevention Policy violations.
- Less than 70% compliance on Education & Training requirements.

PARTIALLY IMPLEMENTED

A finding of this type indicates a moderate reduction in risk to minor athletes and reveals what is determined to be a limited environment of safety and well-being for minor athletes. This can be a result of, but not limited to the following:

- Presence of policies but missing relevant and supporting documentation.
- Presence of policies that do not meet the mandatory minimum requirements of the MAAPP.
- Not adequately or consistently providing communication to Applicable Adults regarding policy/requirements.
- Lack of an adequate reporting and oversight structure for Required Prevention Policy violations.
- Between 70% and 90% compliance on Education & Training requirements.

IMPLEMENTED

A finding of this type indicates a significant reduction in risk to minor athletes and reveals what is determined to be a satisfactory environment of safety and well-being for minor athletes. This can be a result of, but not limited to the following:

- Presence of policies and all relevant and supporting documentation provided where required.
- Adequate and consistent communication to Applicable Adults.
- Adequate reporting and oversight structure for Required Prevention Policy violations.
- 90% or higher compliance on Education & Training requirements.