

2022 EVENT AUDIT REPORT

**AUDIT AND COMPLIANCE
DEPARTMENT**

NGB/PSO NAME:

National Wheelchair Basketball Association

EVENT AUDIT SITE:

University of Akron: Recreation Center– Akron, OH

EVENT AUDIT DATE:

April 22, 2022

BACKGROUND AND AUDIT PURPOSE

The U.S. Center for SafeSport (the Center) is committed to building a sport community in which individuals can work and learn together in an atmosphere free of emotional, physical, and sexual abuse and misconduct. Federal law 36 U.S.C. §220541 authorizes the Center to develop and enforce abuse prevention policies and procedures that the U.S. Olympic & Paralympic Committee and its National Governing Bodies (NGBs) and Paralympic Sports Organizations (PSOs) must implement.

In accordance with that law, the Center has been granted authority to complete regular and random audits of NGBs, PSOs, and the USOPC. The Center objectively evaluates adherence and level of compliance to the requirements set forth in the SafeSport Code and the Minor Athlete Abuse Prevention Policies (MAAPP).

AUDIT SCOPE

This 2022 audit is based on Event Audit Standards articulated in the Audit and Compliance Manual released by the Center on Jan. 11, 2022.

The audit covered the following areas:

- > **EDUCATION AND TRAINING**
- > **COMMUNICATION AND REPORTING**
- > **QUALITY CONTROL**

AUDIT METHODOLOGY

During the audit, the Center:

- Reviewed policies and procedures
- Evaluated processes
- Administered implementation fidelity testing
- Conducted on-site inspections
- Interviewed individuals at the event site

AUDIT SUMMARY

Based on the audit methodology, the Center made findings as to whether standards in Appendix A were met for Education and Training, Communication and Reporting, and Quality Control (applying scoring guidelines in Appendix B).

SCORING SUMMARY

STANDARD	SCORE
EDUCATION AND TRAINING	IMPLEMENTED
COMMUNICATION AND REPORTING	IMPLEMENTED
QUALITY CONTROL SYSTEM	IMPLEMENTED

AUDIT AREA: **EDUCATION AND TRAINING**

REQUIREMENTS:

1. Organizations must provide to the Center a list of all individuals who will be at the event who are in a role that would require them to take SafeSport training (all staff, adult athletes with regular contact or authority over amateur athletes who are minors, coaches, referees/officials, volunteers, medical staff, contractors, etc.). The auditor will perform testing on this list to verify:
 - a. those at the event who should be SafeSport Trained are trained every 12 months
 - b. the list provided is inclusive of all individuals at the event who should be SafeSport Trained

SCORE:

Implemented

RATIONALE:

Requirement No.1(a) is satisfied. Out of 36 individuals randomly selected for testing, 36 (100%) completed the Center's training requirements prior to the event.

Requirement No.1(b) is satisfied. Out of 10 individuals randomly selected for testing, 10 (100%) were found to be properly included on the list provided to the Center.

CORRECTIVE ACTIONS:

No Corrective Action Required.

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: N/A

PROJECTED DEADLINE: N/A

CORRECTIVE PLAN:

No Management Response required.

AUDIT AREA: **COMMUNICATION AND REPORTING**

REQUIREMENTS:

1. Organization or Event Director must provide direct communication (by either email or newsletter) sent to all participants, including day-of registrants, informing participants of the MAAPP requirements within 30 days prior to the event. Communication must also include protocol for reporting all concerns involving alleged or suspected sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.
2. Provide the Organization's written protocol for communicating to all event participants within the Organization, Center-approved Education and Training Policy and Required Prevention Policies and reporting protocols for all concerns involving alleged sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.

SCORE:

Implemented

RATIONALE:

Requirement No.1 is satisfied. NWBA provided direct communication that was sent to all participants and staff. The communication was sent on March 23, 2022, within 30 days prior to the event. This included a link to NWBA's MAAPP, a link to the Center's 'Report a Concern' web page for reporting alleged sexual misconduct and a link to the USWBA reporting page for reporting to NWBA any alleged misconduct, including violations of the MAAPP.

Requirement No.2 is satisfied. NWBA provided a written protocol, located within the QCS section of the NWBA Event Resource Guide document, for communicating to all event participants their Center-approved Education and Training policy and Required Prevention Policies as well as the reporting protocols for alleged MAAPP violations and all concerns involving alleged sexual misconduct and physical and emotional misconduct. This communication will occur once the event director receives the approval from NWBA to run the NWBA sanctioned event and has a complete list of approved event participants from NWBA's registration system, SportsEngine.

CORRECTIVE ACTIONS:

No Corrective Action Required.

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: N/A

PROJECTED DEADLINE: N/A

CORRECTIVE PLAN:

No Management Response required.

AUDIT AREA: **QUALITY CONTROL SYSTEM**

REQUIREMENTS:

1. Organization must implement a Quality Control System to ensure that individuals on the Organizational Exclusion List are unable to participate in any event, program, activity, or competition authorized by, organized by, or under the auspices of the Organization. The Quality Control System also must ensure that individuals who are required to be but are not SafeSport Trained are either prevented from participating or are in a role that does not include regular contact with or authority over amateur athletes who are minors.
2. The Quality Control System must include the Organization's written implementation protocol—clear action steps to effectuate policies—pertaining to all participant registration and onsite check-in. This written protocol must include and address the following:
 - a. One-day/short-term memberships
 - b. Day-of participant registration (of athletes, volunteers, coaches, officials, etc.)
 - c. On-site registration/participation (of athletes, volunteers, coaches, officials, etc.)
 - d. Last-minute substitutions (of personnel such as referees, volunteers, etc.)
 - e. Oversight procedures by the Organization when their staff is not on-site at sanctioned events

SCORE:

Implemented

RATIONALE:

Requirement No.1 is satisfied. NWBA has a Quality Control System (QCS) and procedure to ensure adult athletes, junior athletes (18+), Non-athletes, Board of Directors, Coaches, Officials, Team Support Staff, Classifiers, Team Representatives, Committee Leadership, Divisional and Conference Leadership, Event Director/Sanction holder, Local Organization Committee, USA National Team Staff, NWBA Independent Contractors and Vendors are cross-checked against the Organizational Exclusion List (OEL). The procedure states event directors must check the U.S. Center for SafeSport's Centralized Disciplinary Database (CDD) to ensure that any individuals 'banned/suspended or on an Administrative Hold list' should be prohibited from participating in the event in any capacity. The QCS states that steps should be taken to ensure that credential/access is not approved for those individuals in advance of the event.

Requirement No. 2 (a-c) is not applicable. NWBA does not offer one-day/short term memberships and does not allow day-of and on-site registrations.

Requirement No. 2(d) is satisfied. NWBA allows last-minute substitutions 'for emergency or extraordinary circumstances only'. The NWBA QCS states such last-minute substitutions must be approved by the National Office and 'only approved if all requirements of the QCS Policies are met'.

Requirement No. 2(e) is satisfied. The NWBA QCS states that 'it is the responsibility of each Event Director and the host organization/facility of any NWBA sanctioned event to monitor and enforce the requirements set forth in this protocol.' Auditor also learned that any event that was deemed to have not followed the NWBA protocols can lose its sanction and no longer host NWBA events. Lastly, NWBA will host a webinar for any current or potential event directors to review the Event Resource Guide.

CORRECTIVE ACTIONS:

No Corrective Action Required.

AUDIT AREA: **QUALITY CONTROL SYSTEM**

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: N/A

PROJECTED DEADLINE: N/A

CORRECTIVE PLAN:

No Management Response required.

1. EDUCATION AND TRAINING

Organization must provide to the Center a list of all individuals who will be at the event who are in a role that would require them to take SafeSport training (all staff, adult athletes with regular contact or authority over amateur athletes who are minors, coaches, referees/officials, volunteers, medical staff, contractors, etc.).

The auditor will perform testing on this list to verify:

- a. those at the event who should be SafeSport Trained are trained every 12 months
- b. the list provided is inclusive of all individuals at the event who should be SafeSport Trained

2. COMMUNICATION AND REPORTING

1. Organization or Event Director must provide direct communication (by either email or newsletter) sent to all participants, including day-of registrants, informing participants of the MAAPP requirements within 30 days prior to the event. Communication must also include protocol for reporting all concerns involving alleged or suspected sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.
2. Provide the Organization's written protocol for communicating, to all event participants within the Organization, Center-approved Education and Training Policy and Required Prevention Policies and reporting protocols for all concerns involving alleged sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.

3. QUALITY CONTROL SYSTEM

1. Organization must implement a Quality Control System to ensure that individuals on the Organizational Exclusion List are unable to participate in any event, program, activity, or competition authorized by, organized by, or under the auspices of the Organization. The Quality Control System also must ensure that individuals who are required to be but are not SafeSport Trained are either prevented from participating or are in a role that does not include regular contact or authority over amateur athletes who are minors.
2. The Quality Control System must include the Organization's written implementation protocol—clear action steps to effectuate policies—pertaining to all participant registration and onsite check-in. This written protocol must include and address the following:
 - a. One-day/short-term memberships
 - b. Day-of participant registration (of athletes, volunteers, coaches, officials, etc.)
 - c. On-site registration/participation (of athletes, volunteers, coaches, officials, etc.)
 - d. Last-minute substitutions (of personnel such as referees, volunteers, etc.)
 - e. Oversight procedures by the Organization when their staff is not on-site at sanctioned events

NOT IMPLEMENTED

A finding of this type indicates a minimal reduction in risk to Minor Athletes and reveals what is determined to be a poor environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Complete absence of policies and/or relevant and supporting documentation where required
- Complete absence of communication to Adult Participants regarding specific policy/requirements
- Complete absence of reporting and oversight structure for Required Prevention Policy violations
- Less than 70% compliance on Education & Training requirements

PARTIALLY IMPLEMENTED

A finding of this type indicates a moderate reduction in risk to Minor Athletes and reveals what is determined to be a limited environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Presence of policies but missing relevant and supporting documentation
- Presence of policies that do not meet the mandatory minimum requirements of the MAAPP
- Not adequately or consistently providing communication to Adult Participants regarding policy/requirements
- Lack of an adequate reporting and oversight structure for Required Prevention Policy violations.
- Above 70% and below 90% compliance on Education & Training requirements

IMPLEMENTED

A finding of this type indicates a significant reduction in risk to Minor Athletes and reveals what is determined to be a satisfactory environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Presence of policies and all relevant and supporting documentation provided where required
- Adequate and consistent communication to Adult Participants
- Adequate reporting and oversight structure for Required Prevention Policy violations
- 90% or higher compliance on Education & Training requirements