



2022 EVENT AUDIT REPORT

AUDIT AND COMPLIANCE
DEPARTMENT

NGB/PSO NAME:

USA Badminton

EVENT AUDIT SITE:

Youth Nationals – Frisco Field House- Frisco, TX

EVENT AUDIT DATE:

June 28, 2022

BACKGROUND AND AUDIT PURPOSE

The U.S. Center for SafeSport (the Center) is committed to building a sport community in which individuals can work and learn together in an atmosphere free of emotional, physical, and sexual abuse and misconduct. Federal law 36 U.S.C. §220541 authorizes the Center to develop and enforce abuse prevention policies and procedures that the U.S. Olympic & Paralympic Committee and its National Governing Bodies (NGBs) and Paralympic Sports Organizations (PSOs) must implement.

In accordance with that law, the Center has been granted authority to complete regular and random audits of NGBs, PSOs, and the USOPC. The Center objectively evaluates adherence and level of compliance to the requirements set forth in the SafeSport Code and the Minor Athlete Abuse Prevention Policies (MAAPP).

AUDIT SCOPE

This 2022 audit is based on Event Audit Standards articulated in the Audit and Compliance Manual released by the Center on Jan. 11, 2022.

The audit covered the following areas:

- > EDUCATION AND TRAINING
- > COMMUNICATION AND REPORTING
- > QUALITY CONTROL

AUDIT METHODOLOGY

During the audit, the Center:

- Reviewed policies and procedures
- Evaluated processes
- Administered implementation fidelity testing
- Conducted on-site inspections
- Interviewed individuals at the event site

AUDIT SUMMARY

Based on the audit methodology, the Center made findings as to whether standards in Appendix A were met for Education and Training, Communication and Reporting, and Quality Control (applying scoring guidelines in Appendix B).

SCORING SUMMARY

STANDARD	SCORE
EDUCATION AND TRAINING	IMPLEMENTED
COMMUNICATION AND REPORTING	IMPLEMENTED
QUALITY CONTROL SYSTEM	IMPLEMENTED

AUDIT AREA: EDUCATION AND TRAINING

REQUIREMENTS:

1. Organizations must provide to the Center a list of all individuals who will be at the event who are in a role that would require them to take SafeSport training (all staff, adult athletes with regular contact or authority over amateur athletes who are minors, coaches, referees/officials, volunteers, medical staff, contractors, etc.). The auditor will perform testing on this list to verify:
 - a. those at the event who should be SafeSport Trained are trained every 12 months
 - b. the list provided is inclusive of all individuals at the event who should be SafeSport Trained

SCORE:

Implemented

RATIONALE:

Requirement No. 1a is satisfied. Of the 39 individuals randomly selected for testing, 39 (100%) properly completed the Center’s SafeSport training requirements prior to the event/competition.

Requirement No. 1b is satisfied. Of the 10 individuals randomly selected for testing from the list provided by USA Badminton (USAB), 10 (100%) were found to be properly included.

CORRECTIVE ACTIONS:

No Corrective Action required.

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: N/A

PROJECTED DEADLINE: N/A

CORRECTIVE PLAN:

No Management Response required.

AUDIT AREA: **COMMUNICATION AND REPORTING**

REQUIREMENTS:

1. Organization or Event Director must provide direct communication (by either email or newsletter) sent to all participants, including day-of registrants, informing participants of the MAAPP requirements within 30 days prior to the event. Communication must also include protocol for reporting all concerns involving alleged or suspected sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.
2. Provide the Organization's written protocol for communicating to all event participants within the Organization, Center-approved Education and Training Policy and Required Prevention Policies and reporting protocols for all concerns involving alleged sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.

SCORE:

Implemented

RATIONALE:

Requirement No. 1 is satisfied. USAB provided direct email communication of the MAAPP and reporting policy to all event participants. USAB sent communications on June 18th, June 21st, June 24th, and June 28th to all coaches, athletes, technical officials, referees, medical staff, and vendors.

Per USAB's policy, "last-minute participants will be provided a document summarizing key points of the MAAPP and information on how to report any MAAPP violations." However, a last-minute medical substitute did not receive the full MAAPP policy prior to participating in the event. Despite this individual signing to acknowledge they read and understood the full MAAPP policy, the document reviewed was the One-on-One policy. It is noted that this individual later received the full MAAPP policy via email on July 30th, the same day as their initial participation in the event. This finding does not impact the audit score.

Requirement No. 2 is satisfied. USAB includes within its Quality Control policy, written procedures for communicating to all event participants the Center-approved MAAPP (which include the Education and Training Policies and the Required Prevention Policies) and reporting protocols for all concerns involving alleged sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.

CORRECTIVE ACTIONS:

1. USAB must update its policy to state that last-minute substitutions will receive the full Reporting policy and either the full MAAPP or the Center's 'MAAPP-at-a-glance' resource.

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: Rachel Bui

PROJECTED DEADLINE: September 9, 2022

CORRECTIVE PLAN:

USAB will update its policy to state that last-minute substitutions will receive the full Reporting policy and either the full MAAPP or the Center's 'MAAPP-at-a-glance' resource.

REQUIREMENTS:

1. Organization must implement a Quality Control System to ensure that individuals on the Organizational Exclusion List are unable to participate in any event, program, activity, or competition authorized by, organized by, or under the auspices of the Organization. The Quality Control System also must ensure that individuals who are required to be but are not SafeSport Trained are either prevented from participating or are in a role that does not include regular contact with or authority over amateur athletes who are minors.
2. The Quality Control System must include the Organization’s written implementation protocol—clear action steps to effectuate policies—pertaining to all participant registration and onsite check-in. This written protocol must include and address the following:
 - a. One-day/short-term memberships
 - b. Day-of participant registration (of athletes, volunteers, coaches, officials, etc.)
 - c. On-site registration/participation (of athletes, volunteers, coaches, officials, etc.)
 - d. Last-minute substitutions (of personnel such as referees, volunteers, etc.)
 - e. Oversight procedures by the Organization when their staff is not on-site at sanctioned events

SCORE:

Implemented

RATIONALE:

Requirement No. 1 is satisfied. USA Badminton has a Quality Control System (QCS) policy to ensure that individuals on the Organizational Exclusion List (OEL) are unable to participate in any USAB sanctioned event/competition. The QCS procedure requires that USAB receive three separate lists from the Coordinator of Events, the tournament director, and/or referee one week prior to the tournament start date. The Coordinator of Events will provide a list of coach passes issued for the current season (coaches who meet USAB’s compliance requirements to be a registered coach member). The tournament director and/or referee will provide USAB lists of all event participants and a separate list of adults participating (Adult Participants) in the tournament. Once the full event participant list is compiled, USAB cross-checks the names against the OEL both one week and 24 hours prior to the event. If any individual is found to be included on the OEL, USAB staff will notify the tournament director and/or referee as well as remove the individual's name from the coaching pass list and/or the event participant list.

The QCS policy also requires USAB to ensure that individuals who are required to be but are not SafeSport Trained are prevented from participating in the event. Upon receipt of the above-mentioned lists, which are compiled one week prior to the event, USAB will notify any Adult Participant who has yet to complete SafeSport Training that they will be prevented from participating. Adult Participants who fail to take SafeSport training 24 hours prior to the event will be highlighted in the event participant list and coaching pass list and prevented from participating, unless proof of current training is provided to USAB.

Requirement No. 2a is not applicable. USAB does not provide one-day/short-term memberships.

Requirement No. 2b is not applicable. Outside of normal registration, USAB allows for day-of registration/participation only for last-minute substitutions (see Requirement No. 2d).

Requirement No. 2c is satisfied. Outside of normal registration, USAB allows for on-site registration only for last-minute substitutions (see Requirement No. 2d).

AUDIT AREA: **QUALITY CONTROL SYSTEM**

Requirement No. 2d is satisfied. USAB's QCS policy includes procedures to ensure that last-minute participants are a) prevented from participating if on the banned/suspended list and b) prevented from participating if they are required to buy have not completed the required SafeSport training. If USAB is on-site, a staff member verifies that the individual has taken the required SafeSport training, has an active membership and background check, and is excluded from the OEL.

Requirement No. 2e is satisfied. For events in which USAB is not on site, all verification for SafeSport training and exclusion from the OEL is conducted by USAB prior to the event. The procedures also require that USAB national staff email the tournament director and/or referee a copy of the updated lists (coaching pass list, Adult Participant List, and event participant list). In the event of a last-minute substitution, USAB will be on-call to verify that the individual has completed the Center's training requirements and is not included on the OEL. Additionally, USAB requires that Event Directors and/or referee complete a checklist post-event to ensure that the QCS policy is effectuated.

CORRECTIVE ACTIONS:

No Corrective Action required.

MANAGEMENT RESPONSE

RESPONSIBLE INDIVIDUAL: N/A

PROJECTED DEADLINE: N/A

CORRECTIVE PLAN:

No Management Response required

1. EDUCATION AND TRAINING

Organization must provide to the Center a list of all individuals who will be at the event who are in a role that would require them to take SafeSport training (all staff, adult athletes with regular contact or authority over amateur athletes who are minors, coaches, referees/officials, volunteers, medical staff, contractors, etc.).

The auditor will perform testing on this list to verify:

- a. those at the event who should be SafeSport Trained are trained every 12 months
- b. the list provided is inclusive of all individuals at the event who should be SafeSport Trained

2. COMMUNICATION AND REPORTING

1. Organization or Event Director must provide direct communication (by either email or newsletter) sent to all participants, including day-of registrants, informing participants of the MAAPP requirements within 30 days prior to the event. Communication must also include protocol for reporting all concerns involving alleged or suspected sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.
2. Provide the Organization's written protocol for communicating, to all event participants within the Organization, Center-approved Education and Training Policy and Required Prevention Policies and reporting protocols for all concerns involving alleged sexual misconduct, physical misconduct, emotional misconduct, or violations of the MAAPP.

3. QUALITY CONTROL SYSTEM

1. Organization must implement a Quality Control System to ensure that individuals on the Organizational Exclusion List are unable to participate in any event, program, activity, or competition authorized by, organized by, or under the auspices of the Organization. The Quality Control System also must ensure that individuals who are required to be but are not SafeSport Trained are either prevented from participating or are in a role that does not include regular contact or authority over amateur athletes who are minors.
2. The Quality Control System must include the Organization's written implementation protocol—clear action steps to effectuate policies—pertaining to all participant registration and onsite check-in. This written protocol must include and address the following:
 - a. One-day/short-term memberships
 - b. Day-of participant registration (of athletes, volunteers, coaches, officials, etc.)
 - c. On-site registration/participation (of athletes, volunteers, coaches, officials, etc.)
 - d. Last-minute substitutions (of personnel such as referees, volunteers, etc.)
 - e. Oversight procedures by the Organization when their staff is not on-site at sanctioned events

NOT IMPLEMENTED

A finding of this type indicates a minimal reduction in risk to Minor Athletes and reveals what is determined to be a poor environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Complete absence of policies and/or relevant and supporting documentation where required
- Complete absence of communication to Adult Participants regarding specific policy/requirements
- Complete absence of reporting and oversight structure for Required Prevention Policy violations
- Less than 70% compliance on Education & Training requirements

PARTIALLY IMPLEMENTED

A finding of this type indicates a moderate reduction in risk to Minor Athletes and reveals what is determined to be a limited environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Presence of policies but missing relevant and supporting documentation
- Presence of policies that do not meet the mandatory minimum requirements of the MAAPP
- Not adequately or consistently providing communication to Adult Participants regarding policy/requirements
- Lack of an adequate reporting and oversight structure for Required Prevention Policy violations.
- Above 70% and below 90% compliance on Education & Training requirements

IMPLEMENTED

A finding of this type indicates a significant reduction in risk to Minor Athletes and reveals what is determined to be a satisfactory environment of safety and well-being for Minor Athletes. This can result from, but is not limited to, the following:

- Presence of policies and all relevant and supporting documentation provided where required
- Adequate and consistent communication to Adult Participants
- Adequate reporting and oversight structure for Required Prevention Policy violations
- 90% or higher compliance on Education & Training requirements